IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application No.:

10/714,523

Confirmation No.:

2227

Filing Date:

November 14, 2003

Inventors:

Houle et al.

Title:

SYSTEMS AND METHODS

FOR ASSESSING DOCUMENTS USING

ANALYSIS OF MACHINE-

PRINTED INFORMATION

PRINTED WRITING AND PRE-

Examiner:

Unknown

Art Unit:

2623

Atty. Dkt. No.:

5053-00507

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

DATE OF DEPOSIT:

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated above and is addressed to:

Commissioner for Patents

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

Sir:

It is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 (ref. nos. A1-A8 and A10-A13) be considered by the Examiner and made of record. Copies of the listed documents are enclosed for the convenience of the Examiner.

Should any fees be required, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. 50-1505/5053-00507/EBM.

Respectfully submitted,

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Date: 12-15-0

Form PTO-1449 (modified) List of Patents and Publications For Applicant's Information Disclosure Statement

ATTY. DKT. NO. 5053-00507

APPLICANT: Houle et al.

SERIAL NO. 10/714,523

CONF. NO.: 2227

(Use several sheets if necessary)			FILING DATE: November 14, 2003		ART UNIT: 2623				
		U	S. PATENT	DOCUMENTS			·		
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE		
		DEC 2 3 2004 6				,			
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		FOR	EIGN PATE	NT DOCUMENTS	1 1				
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO		
	A1	GB 2 271 657	04/20/1994	UK					
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		OTHER ART (I	-11° A(1	Title Date Bentinent Be	F	`	<u> </u>		
	OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	A2	Dikstra et al., "IRIS An Image Recognition and Interpretation System for the Dutch Postbank", Sep. 3, 2000, pp. 2 26, Pattern Recognition, 2000 Proceedings.							
A3 Knerr et al., "Hidden Markov Model Based Word Recognition and Its Application to Legal Amount French Checks", June 1998, pp. 404-419, Computer Vision and Image Understanding, vol. 70, No. 3							eading on		
	A4 Suen et al., "Automatic Recognition of Handwritten Data on Cheques-Fact or Fiction?", Nov. 1999, pp. Pattern Recognition Letters, North-Holland Publ., Amsterdam, NL, vol. 20, no. 11-13.								
	A5	5 Srihari et al., "Document Image-Processing System For Name and Address Recognition", Dec. 21, 1996, pp. 3' 391, International Journal of Imaging Systems and Technology, Wiley and Sons, New York, vol 7, no. 4.							
A6 Kaufmann et al., "Amount Translation and Error Localization in Check Processing Using Syntax-Dir Translation," 1998, pp. 1530-1534, Proc. Int. Conf. on Pattern Recognition, Brisbane, Australia.							cted		
	A7	International Search Report for European Application No. 03005807.7 mailed May 20							
T	A8	Office Communication for European Application No. 03005807.7 mailed March 9							
									
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DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Form PTO-1449 (modified)			ATTY. DKT. NO. 5053-00507		SERIAL NO. 10/714,523		
List of Patents and Publications							
For Applicant's Information			APPLICANT: Houle et al.		CONF. NO.: 2227		
Disclosure Statement							
(Use	e severa	l sheets if necessary)	FILING DATE: November 14, 2003		ART UNIT: 2623		
		U.	S. PATENT	DOCUMENTS			
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
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	A10	10/735,280	12/12/2003	Houle et al.			
		(specification and figures same as above referenced application)					
	A11	10/734,738	12/12/2003	Houle et al.			
		(specification and figures same as above referenced application)					
	A12	10/741,156	12/19/2003	Houle et al.			
		(specification and figures same as above referenced application)					
	A13	10/741,157	12/19/2003	Houle et al.			
		(specification and figures same as above referenced application)					
		FORI	EIGN PATE	NT DOCUMENTS			
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
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EXAMINER:

DATE CONSIDERED: